

PATENT

Our Docket: P-IM 2442

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William Soo Hoo

Serial No.: 08/902,516

Filed: July 29, 1997

For: MEMBRANE-BOUND CYTOKINE

COMPOSITIONS COMPRISING

GM-CSF AND METHODS OF

MODULATING AN IMMUNE RESPONSE

USING SAME

BOX ISSUE FEE

Asst. Commissioner for Patents

Washington, D.C. 20231

Sir:

) Examiner: L. Spector

) Group Art Unit: 1646

) Batch No.: I83

) Date of Notice

) of Allowance: September 10, 1998

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Honorable Commissioner for Patents, BOX ISSUE FEE, Washington, D.C. 20231, on October 14, 1998.

By Andrea L. Gashler  
Andrea L. Gashler, Reg. No. 41,029

October 14, 1998  
Date of Signature

STATEMENT UNDER 37 C.F.R. § 1.821(f) and (g)

I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 CFR § 1.821(c) and (e), respectively, are the same.

I hereby state that the submission, filed in accordance with 37 C.F.R. § 1.821(g) herein does not include new matter.

Respectfully submitted,

October 14, 1998

Date

Andrea L. Gashler  
Andrea L. Gashler  
Registration No. 41,029  
Telephone: (619) 535-9001  
Facsimile: (619) 535-8949

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive  
Suite 700  
San Diego, California 92122

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**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 CFR 1.821.825. Applicant's attention is directed to these regulations, published at 114 FR 18230, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of marked-up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant must provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing"
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d)

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